

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		Application Number	09/894,180
		Filing Date	06/28/01
		First Named Inventor	PONZIO, F.
		Group Art Unit	2101 3621
		Examiner Name	TBA
Sheet 1 of 2	1	of	2
		Attorney Docket Number	4640-110

Examiner Signature	<i>Alisa June B</i>	Date Considered	<i>4/27/04</i>
-----------------------	---------------------	--------------------	----------------

**'EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box →

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

O I P E  
JCT  
1 SEP 24 2001

SHEET

2

of

2

### Complete if Known

Application Number	09/894,181
Filing Date	06/28/01
First Named Inventor	PONZIO, F.
Group Art Unit	2452 3621
Examiner Name	TBA

Attorney Docket Number 4640-102

SEP  
26 2001

RECEIVED  
Technology Center 2000

### OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
P.E	1	WATTERTSON, KAREN, Attention, Data-Mart Shoppers, BYTE Magazine, July, 1997, McGraw-Hill Companies, USA	
	2	WHITE, COLIN, Managing Data Transformations, BYTE Magazine, December, 1997, McGraw-Hill Companies, USA	
	3	GREHAN, RICK, Redesigned Delphi Masters Networked Apps, BYTE Magazine, May, 1997, McGraw-Hill Companies, U.S.A.	
	4	HACKATHORN, RICHARD, Data Warehousing's Credibility Crisis, BYTE Magazine, August 1997, McGraw-Hill Companies, U.S.A.	
	5	NICHOLLS, BILL, Building Software In An Organized Fashion IV, BYTE Magazine, August, 1999, McGraw-Hill Companies, U.S.A.	
	6	BHATEJA, RAJIV, and KATZ, RANDY H., VALKYRIE: A Validation Subsystem of a Version Server for Computer-Aided Design Data, 1987, pp. 321-327, Association of Computing Machinery, U.S.A.	
	7	NICHOLLS, BILL, Building Software In An Organized Fashion IV, BYTE Magazine, August, 1999, McGraw-Hill Companies, U.S.A.	
	8	COOK, JANET M., Teaching Integrity Features: Using Data Verification to Illustrate the Use of Subprocedures in Elementary Programming Classes, 1985, 9 pages, Association of Computing Machinery, U.S.A.	
	9	Software Engineering Institute Capability Maturity Model Issue Paper, September 1996.	
	10	How to Buy Information: The A-B-C's of Data Purchasing, Acxiom Corporation, U.S.A.	
	11	WEN, WU and CALLAHAN, JOHN, Verification and Validation of KBS With Neural Network Components, Nasa/WVU Software Research Laboratory, 5 pgs., Morgantown, WV, U.S.A.	

Examiner Signature

Date Considered

4/27/04

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box.

PTO/SB/08A (08-00)

Approved for use through 10/31/2002, OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

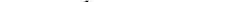
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		Application Number	09/894,180
		Filing Date	06/28/01
		First Named Inventor	PONZIO, F.
		Group Art Unit	TBA <i>3621</i>
		Examiner Name	TBA
		Attorney Docket Number	4640-110

## **U.S. PATENT DOCUMENTS**

RECEIVED  
OCT 03 2001  
Center 21000

## **FOREIGN PATENT DOCUMENTS**

Examiner Signature		Date Considered	4/27/04
-----------------------	---	--------------------	---------

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UN 032002

**Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.**

PTO/SB/08A (10-01)  
Approved for use through 10/31/2002. OMB 0651-0031

~~Substitute for form 1449A/PTO~~

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

St

T

f

<i><b>Complete If Known</b></i>	
Application Number	09/894,180
Filing Date	June 28, 2001
First Named Inventor	Ponzie, Frank
Group Art Unit	2164 <i>362</i>
Examiner Name	TBA
Attorney Docket Number	4640-110

COPY OF PAPERS  
ORIGINALLY FILED

## **U.S. PATENT DOCUMENTS**

~~RECEIVED~~

JUN 06 2002

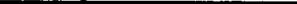
Technology Center 2100

**RECEIVED**

JUN 19 2002

# GROUP 3600

## **FOREIGN PATENT DOCUMENTS**

Examiner Signature		Date Considered	4/27/04
-----------------------	---	--------------------	---------

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.